

# **Salterforth Parish Council**

## **Retention Schedule**

*Adopted*                      *May 2024*  
*Review date*                *May 2025*  
*Minute Reference.*    *2024.9*

A Retention Schedule is a list of records that need to be kept by Salterforth Parish Council for a specific length of time.

This schedule contains recommended retention periods for records created and maintained by the Parish Council and refers to all information regardless of the media in which it is stored.

The aim of the Retention Schedule is to provide a consistent approach to the way the Council handles its records and provide a clear set of guidelines.

A Retention Schedule serves the purpose of identifying records that may be worth preserving permanently as a part of a local authority archive as well as preventing the premature destruction of records that need to be retained for a specific legal, financial or statutory period.

This Retention Schedule details the function of each record, the type of records that may fall within this function and the length of time the Council should hold the record before taking disposal or archive action.

Many retention periods are determined by statute.

All data and records (active and archive) will be stored in accordance with appropriate security requirements and in the most convenient and appropriate location. The degree of security required for file storage will reflect the sensitivity and confidential nature of the recorded material.

To summarise; the Council needs to keep accurate and timely records for the appropriate period of time, making sure that obsolete records are securely disposed of in an appropriate manner.

Salterforth Parish Council recognises that the efficient management of its records is necessary to comply with its legal and regulatory obligations and to contribute to the effective overall management of the Parish Council. This document provides the policy framework through which this effective management can be achieved and audited.

### **Scope of the policy**

This policy applies to all records created, received or maintained by the Parish Council in the course of carrying out its functions. Records are defined as all those documents which facilitate the business carried out by the Parish Council and which are, thereafter, retained (for a set period) to provide evidence of its transactions or activities. These records may be created, received or maintained in hard copy and/or electronically.

## **Responsibilities**

The Parish Council has a corporate responsibility to maintain its records and record management systems in accordance with the regulatory environment.

The Clerk and Councillors must ensure that records for which they are responsible are accurate, and are maintained and disposed of in accordance with the Parish Council's records management guidelines. Individual Councillors may hold records securely in hard copy format or electronically at home or on their home computers. If a Councillor considers that some of these documents are important in the context of the Parish Council's records, they should ensure that the Parish clerk retain a copy for the official record. Individual Councillors are strongly advised to undertake "weeding" and "housekeeping" on a regular basis.

## **Relationship with existing policies**

This policy has been drawn up within the context of:

- Freedom of Information policy
- Data Protection policy/Publication Scheme

And with other legislation or regulations (including audit and Statute of Limitations) affecting the Parish Council.

## **Retention Schedule**

The Parish Council is required to maintain a retention schedule listing the record series which it creates in the course of its business. The retention schedule lays down the length of time which the record needs to be retained and the action which should be taken when it is of no further administrative use.

Under the Freedom of Information Act 2000, the Parish Council is required to maintain a retention schedule listing the record series which it creates in the course of its business. The retention schedule lays down the length of time which the record needs to be retained and the action which should be taken when it is of no further administrative use.

The Clerk is expected to manage the current record keeping systems using the retention schedule and to take account of the different retention periods when creating new record keeping systems. This retention schedule refers to record series regardless of the media in which they are stored.

The retention schedule refers to record series regardless of the media in which they are stored. **Please see Appendix 1**

This policy will be reviewed annually to ensure that it is relevant to working practice.

## **Appendix 1**

Document	Minimum retention	Reason	Action
<b>Minutes and Correspondence</b>			
<b>Minutes of council meetings</b>	Indefinitely		Archive
<b>Agendas</b>	Indefinitely		Archive
<b>Routine Correspondence</b>	As long as useful		Management
<b>Financial</b>			
<b>Annual audited accounts</b>	Indefinitely		Archive
<b>Annual return</b>	Indefinitely		Archive
<b>Bank statements</b>	6 years	Audit	Destroy
<b>Cheque book stubs</b>	Last completed audit year	Management	Destroy
<b>Paying in books</b>	Last completed audit year	Management	Destroy
<b>Quotations and tenders</b>	12 years	Audit	Destroy
<b>VAT records</b>	6 years	VAT	Destroy
<b>Paid invoices/cheques</b>	6 years	Audit/VAT	Destroy
<b>Payroll records</b>	12 years	Audit	Destroy
<b>Tax and Ni records</b>	12 years	Audit	Destroy
<b>Budget control papers</b>	Current year plus 2 years	Audit	Archive
<b>Timesheets</b>	Last completed audit year	Audit	Destroy
<b>Insurance</b>			
<b>Insurance policies</b>	Whilst valid	Audit	Destroy
<b>Insurance claim records</b>	7 years after all obligations are concluded		
<b>Certificate of employers liability</b>	50 years	Audit/legal	Destroy
<b>Certificate of public liability</b>	21 years	Audit/legal	Destroy
<b>Policy renewal records and correspondence</b>	Whilst valid	Management	Destroy
<b>Asset registers</b>	Indefinitely	Audit	Preserve
<b>Health and safety</b>			
<b>Accident books</b>	25 years from closure	Management	Archive
<b>Risk assessments</b>	3 years from last assessment	Management	Archive
<b>Premises/equipment inspection records</b>	25 years	Management	Archive

Document	Minimum retention	Reason	Action
<b>General management</b>			
<b>Deeds, leases</b>	Indefinitely		Archive
<b>Register of electors</b>	Once updated	Management	Destroy
<b>Cllrs declaration of office</b>	4 years	Management	Destroy
<b>Members register of interest</b>	18 months after individual ceases to be a member	Management	Destroy
<b>Title, deeds, leases, agreements, contracts</b>	Indefinitely	Audit	
<b>General information</b>	12 months	Management	Destroy
<b>Complaints</b>	5 years after closure of case	Management	Archive
<b>Personnel/staff matters</b>			
<b>Application forms (unsuccessful candidates)</b>	6 months	Management	Destroy
<b>Personnel files</b>	6 years after ceasing employment	Management	Destroy
<b>Disciplinary records</b>	Retain for period of employment	Management	Destroy

## **Planning Applications**

All planning applications and relevant decision notices are available at Pendle Borough Council. There is no requirement to retain duplicates locally.

Correspondence received in connection with planning applications will be retained as stated below.

Document	Minimum retention	Reason	Action
Complaints	1 year	Management	Destroy
General Information	3 months	Management	Destroy
Routine correspondence and emails	6 months	Management	Destroy
Applications in which the Parish council have commented	12 months	Management	Added to spreadsheet

Disposal procedures: all documents that are no longer required for administrative reasons should be shredded and disposed of.

If further clarification is required on documents listed above or other documentation, the Lancashire County Council Archives guidelines will be consulted by the Parish Council or the LCC Archives Department itself